Docket No.

221158US2PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Yasutaka ITO, et al.

SERIAL NO:

10/088,098

GAU:

3742

FILED:

May 30, 2002

EXAMINER: S. Fugua

FOR:

CERAMIC SUBSTRATE FOR SEMICONDUCTOR MANUFACTURE/INSPECTION APPARATUS, CERAMIC

HEATER, ELECTROSTATIC CLAMPLESS HOLDER, AND SUBSTRATE FOR WAFER PROBER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313 RECEIVED

MAR 5_- 2004

SIR:

Applicant(s) wish to disclose the following information.

GROUP 3600

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

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Customer Number

TECHNOLOGY CENTER R3700

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Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) I:ATTYMOM22's\221158US\IDSTRANS.DOC Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

Robert T. Pous

Registration No. 29,099

James D. Hamilton Registration No. 28,421

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0	AK	58-218143	12/19/1983	JAPAN (w/ English Abstract)	, ,			
	AL	EP 0 743 290 A1	11/20/1996	EUROPE (w/ English Translation of PC Publication No. WO95/21139)				
	AM	EP 0 771 772 A2	05/071997	EUROPE (w/ English Abstract and corresponding English Translation of JP 10-72260)				
	AN	EP0798278	10/1/1997	EUROPE (w/ English Abstract only and corresponding U.S. Patent No. 6,001,760 and corresponding JP 9-315867-no translation)				
	AO	6 151332	05/31/1994	JAPAN (w/ English Abstract and full text translation)		XX		
	AP	5-330924	12/14/1993	JAPAN (w/ English Abstract and full English text translation)				
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1 5th	AW	T. Fawcett, The American Ceramic Society Bulletin, Vol. 75, No. 10, pgs. 76-80, "Raw Materials Influence the Alpha-Particle Emission Rate of AIN", October 1996.						
	AX	Japanese w/ English tr	anslation of Ex	sperimental report (exhibit 4)				
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Examiner	Sl	avon tera	<u>-</u> J.	Jugua	Date Con	sidered	6/2	6/09
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SHEET 2 OF 2

Form PTO 1449		U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.		
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			APPLICANT			
LIST OF REFERENCES CITED BY APPLICANT			Yasutaka ITO, et al.			
			FILING DATE	GROUP		
			May 30, 2002	3742		
		OTHER REFERENCES (Including Author, Title, Date, Pertinen	nt Pages, etc.)		
0.11		Japanese w/ English translation of A	nalysis and experimental report (exhibit	7)		
94	AAA					
10	AAB	Japanese w/ English translation of C	eramic Data Book (portion) page 68, 199	93 (cited as exhibit 8)		
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	AAC	Japanese w/ English translation of N	ew Ceramics & Electronic Ceramics, Vol	I. 11, No. 9, pgs. 13-18, "New Ceramics" 1998		
	AAD	Japanese w/ English translation of E	lectric Materials, pgs 51-57, "Electrostati	c chuck and its application", July 1996		
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l	AAE	Japanese W/ English translation of N	ew Materials-Technology & Applications	, voi. 3, No. 4, pgs 51-53, April, 1992		
		Language w/ English translation of E	lectronic Ceramics , Vol. 22, No. 104, pg	ne 51-54 1991		
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		Japanese w/ English translation of B	ulletin of the Ceramic Society of Japan.	Vol. 26, No. 8, pgs. 733-737, "Ceramics", 1991		
	AAG		, ,			
		Japanese w/ English translation of B	ulletin of the Ceramic Society of Japan,	Vol. 29, No. 8, pgs 679-681, "Ceramics", 1994		
	AAH					
	AAI		FCA Fine Ceramics Catalogues, page 48 vice, Measurement/Evaluation device, Se	B, (Raw material, Secondary material, Material, ervice), 1996		
	LAA	Japanese w/ English translation of Jo a powder is produced", 1997	ournal of the Society of Powder Technology	ogy, Japan, Vol. 34, No. 8, pgs. 610-616, "Thus		
- 0 K	-	Japanese w/ English translation of Fi	ine Ceramics Report, Vol. 15, No. 10, pg	gs. 226-230, 1997		
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IN RE APPLICATION OF:

Yasutaka ITO, et al.

SERIAL NO: 10/088,098

GROUP: 3742

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May 30, 2002

EXAMINER: S. T. Fuqua

FOR:

CERAMIC SUBSTRATE FOR SEMICONDUCTOR

MANUFACTURE/INSPECTION APPARATUS, CERAMIC HEATER,

ELECTROSTATIC CLAMPLESS HOLDER, AND SUBSTRATE FOR WAFER

PROBER

STATEMENT OF RELEVANCY

AA- US 4,621,064 which was cited in the Office action of the US Patent Application No. 10/048,490 (O/FileIB240US)

RECEIVED MAR 5 - 2004

AJ- Full text translation of JP H6-157132, which is cited in the notification of the rejection for JP Appl. H11-377207

GROUP 3600

AK- Full text translation of JP S58-218143, which is cited in the notification of rejection for JP Appl. H11-377207

AL- EP 0743290, which contains translation of W095/21139, cited in the written objection to JP Appl. H11-377207 by NGK as exhibit 1.

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MAR 1 5 2004 TECHNOLOGY CENTER R3700

AM- EP0771772, which contains translation of JP H10-72260, cited in the written objection to JP Appl. H11-377207 by NGK as exhibit 2.

AN- EP 0798278, which contains translation of JP H9-315867, cited in the written objection to JP Appl. H11-377207 by NGK as exhibit 2.

- AO- Full text translation of JP H6-151332, which is cited in the written objection to JP Appl. H11-377207 by Morita
- AP- Full text translation of JP H5-330924, which is cited in the written objection to JP Appl. H11-377207 by Morita
- AQ- Full text translation of JP H6-80473, which is cited in the written objection to JP Appl. H11-377207 by Morita
- AR- Full text translation of JP H6-219844, which is cited in the written objection to JP Appl. H11-377207 by Morita
- AS- Full text translation of JP H7-187620, which is cited in the written objection to JP Appl. H11-377207 by Morita
- AT- Full text translation of JP H6-219848, which is cited in the written objection to JP Appl. H11-377207 by Morita
- AW- 'Raw material influence the alpha-particle emission rate of AlN' which was submitted in the written opinion by Ibiden in opposition to the written objection to Japanese Patent Application H11-377207 (O/File:IB231, which is a basis of the priority right of the related PCT application, 0/File:IB240W0, of this case) by NGK
- AX- Translation of Experimental report (exhibit 4), cited in the written objection to JP Appl. H11-377207 by NGK with its explanation.
- AY- Translation of Experimental report (exhibit 5), cited in the written objection to JP Appl. H11-377207 by NGK with its explanation.

- AZ- Translation of Analysis and experimental report (exhibit 6) cited in the written objection to JP Appl. H11-377207 by NGK with its explanation.
- AAA- Translation of Analysis and experimental report (exhibit 7) cited in the written objection to JP Appl . H11-377207 by NGK with its explanation.
- AAB- Translation of Ceramic data book (portion) which is cited in the written objection by NGK
- AAC- Translation of pages 13 to 18 of "Volume 11 Number 9 in 1998 of New ceramics" which is cited in the written objection to JP Appl. H11-377207 by Kyocera
- AAD- Translation of pages 51 to 57 of "Electric materials (Electrostatic chuck and its application) issued in July, 1996", which is cited in the written objection to JP Appl. H11-377207 by Kyocera
- AAE- Translation of pages 51 to 53 of "Volume 3 Number 4 in 1992 of New Material", which is cited in the written objection to JP Appl. H11-377207 by Morita
- AAF- Translation of pages 51 to 54 of "Volume 22 Number 104 in 1991 of Electronic ceramics", which is cited in the written objection to JP Appl. H11-377207 by Morita
- AAG- Translation of pages 733-737 of "Volume 26 Number 8 in 1991 of ceramics", which is cited in the written objection to JP Appl. H11-377207 by Morita
- AAH- Translation of pages 679-681 of "Volume 29 Number 8 in 1994 of ceramics", which is cited in the written objection to JP Appl. H11-377207 by Morita

AAI- Translation of "fine ceramics catalog '96", which is cited in the written objection to JP Appl. H11-377207 by Morita

AAJ- Translation of pages 610 to 616 of "Volume 34 Number 8 in 1997 of J. Soc. Powder Technol. Japan, Thus a powder is produced", which is cited in the written objection to JP Appl . H11-377207 by Morita

AAK- Translation of pages 226 to 230 of "Volume 15 Number 10 in 1997 of Fine ceramics report", which is cited in the written objection to JP Appl. H11-377207 by Morita

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Docket No.

221158US9

IN RE APPLICATION OF:

Yasutaka ITO, et al.

SERIAL NO: 10/088,098

GAU:

TES PATENT AND TRADEMARK OFFICE

3742

FILED:

May 30, 2002

EXAMINER: FUQUA, Shawntina T.

FOR:

CERAMIC SUBSTRATE FOR SEMICONDUCTOR MANUFACTURE/INSPECTION APPARATUS, CERAMIC

HEATER, ELECTROSTATIC CLAMPLESS HOLDER, AND SUBSTRATE FOR WAFER PROBER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

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- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

04/12/2004 EFLORES 00000094 10088098

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND.

MAIER & NEUSTADT, P.C.

Masayasu Mori

Registration No. 47,301

Joseph A. Scafetta, Jr. Registration No. 26, 803

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

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(Modified)			ATTY DOCKET NO.		SERIAL NO.		
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LIST OF REFERENCES CONED BY APPLICANT			FILING DATE		GROUP		
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34	AA	6,507,006	1/14/03	Hiramatsu, et at.			
V	AB	6,465,763	10/15/02	Ito, et al.			
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	AD	6,710,307	3/23/04	Ito, et al.			
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*Examiner:	Initial if re	eference is considered,	whether or not	citation is in conformance with MPEP 6		(4	o/ ZX/ OT citation if not in
	onformance and not considered. Include copy of this form with next communication to applicant.						

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LIST OF RELATED CASES

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^{*}Present Application; listed for information # Abandoned Application MM/sb/akh I:\EM\EMREL\2215-2225\221158US LIST.DOC



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^{*}Present Application; listed for information # Abandoned Application MM/sb/akh I:VEM/EMREL\2215-2225\221158US LIST.DOC



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232028US90 DIV	10/352,138	01/28/03	HIRAMATSU et al.

^{*}Present Application; listed for information # Abandoned Application MM/sb/akh !\EM\EMREL\2215-2225\221158US LIST.DOC



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241064US90 CONT	10/244,008	09/16/02	NIWA
241191US90 CONT	10/670,354	09/26/03	NIWA
242402US90 CONT	10/658,454	09/10/03	ITO et al.
242768US90 CONT	10/663,681	09/17/03	ITO et al.
243468US90 PCT	10/473,585	02/13/04	ITO et al.
244440US90 CONT	10/697,287	10/31/03	ITO et al.
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^{*}Present Application; listed for information # Abandoned Application MM/sb/akh I:VEMIEMRELV221s-222s\221158US LIST.DOC

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LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or Issue Date	Inventor/ Applicant
221158US2 PCT 94	10/088,098	05/30/02	ITO, et al.
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^{*}Present Application; listed for information NFO/wal

Docket No.

221158US2 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Yasutaka ITO, et al. IN RE APPLICATION OF:

SERIAL NO: 10/088,098 GAU:

3742

FILED:

May 30, 2002

EXAMINER: S. T. Fuqua

FOR:

CERAMIC SUBSTRATE FOR SEMICONDUCTOR MANUFACTURE/INSPECTION APPARATUS, CERAMIC

HEATER, ELECTROSTATIC CLAMPLESS HOLDER, AND SUBSTRATE FOR WAFER PROBER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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